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21 **ABSTRACT**

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23 Anolyte wash and pulse ultraviolet light (PUV) are approved for food use. Anolyte is a solution
24 containing hypochlorous acid with a pH 6.0 - 6.5. PUV has a wide energy range. There is little
25 information about the bacterial cell injury when the PUV treatment is combined with an anolyte
26 wash. In this study an anolyte wash with a 300 ppm available chlorine for 3 min, PUV for 15
27 sec, and the PUV treatment followed by the anolyte wash was used to determine the
28 morphological effect on *Salmonella*, *Listeria monocytogenes* (LM) and *Staphylococcus aureus*
29 (SA) cells. Following each treatment, the cells were fixed for transmission electron microscopic
30 (TEM) examination. The TEM images showed that *Salmonella* was more sensitive to the
31 treatments. *Salmonella*'s cell membrane wrinkled after both the PUV and anolyte wash with
32 increased cell wall damage and cytoplasm leakage after the PUV/anolyte treatment. The LM
33 and SA showed less damage after the anolyte wash and PUV treatment, but increased cell
34 damage did occur after the combined treatment of PUV followed by the anolyte wash. These
35 results indicate that the gram negative cell wall is more sensitive to the anolyte treatments than
36 the gram positives and PUV caused cytoplasmic disruption in both. The hurdle treatment (PUV
37 followed by anolyte wash) is an effective way to inactivate bacterial pathogens.

38

39 **INTRODUCTION**

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41 During food processing, cross contamination of pathogenic and spoilage bacteria can
42 occur between the equipment and food surfaces. Sanitizers are used on the food contact surface
43 to reduce this cross contamination. However, not all sanitizers are environmentally friendly due
44 to their odor, low stability, toxic residues and reaction with metal equipment (25). The
45 development of an effective, environmentally friendly sanitizer to reduce or eliminate bacteria in
46 the commercial operation is crucial and hypochlorous acid is one such sanitizer.

47 Anolyte's main ingredient (92%) is electrolytically generated hypochlorous acid and is an
48 approved sanitizer for food contact surfaces by the U. S. Food and Drug Administration (FDA)
49 (4). Anolyte is produced by electrolysis of a saturated NaCl solution with a resulting pH of 6.0 –
50 6.5. Even though anolyte (i.e. hypochlorous acid) is classified as a weak acid (pKa ~ 7.5) and

51 dissociates slightly to H^+ and OCl^- , anolyte is a strong oxidizing agent and contains the most
52 active form of residual chlorine (RCL) (8,17,18). Dychdala (8) reported that the biocidal activity
53 of available chlorine (AC) in solution is pH dependent. At pH 6, it took 2.5 min for the AC in
54 solution to inactivate bacterial spores by 99 % and increased contact time was required as the pH
55 was increased. Anolyte, used as a bactericidal and fungicidal sanitizer for food contact surfaces,
56 is non-toxic, environmentally friendly (non-corrosive), and leaves no residue (requires no
57 rinsing) (1, 11).

58 Anolyte (up to 200 ppm AC) has the FDA (and U.S. Environmental Protection Agency
59 approval for use on food contact surfaces (6, 7). There are reports on inactivation of
60 microorganisms by electrically generated water from NaCl, but the resulting products were not
61 called 'anolyte' by the authors (3, 11, 13, 14). Cao et al. (3), calling their product slightly acidic
62 electrolyzed water (SAEW, pH 6.0 – 6.5), reported the effectiveness of SAEW to inactivate
63 *Salmonella enteritidis in vitro*. They reported a 6.5 \log_{10} cfu/g reduction of *S. enteritidis* after
64 the SAEW wash (15 ppm AC – 3 min at all temperatures used) of contaminated shell eggs (3).
65 Issa-Zacharia et al. (13) used SAEW (pH 5.6 – 20 ppm) for 5 min to reduce *Salmonella* spp. and
66 *Escherichia coli* by 2 log on contaminated fresh strawberries. Guentzel et al. (11) produced
67 'neutral electrolyzed oxidizing water' (10 min, 120 ppm AC, pH 6.3-6.5) to wash contaminated
68 spinach and lettuce. They reported that *E. coli* levels were reduced by $>1 \log_{10}$ cfu/ml, whereas
69 *S. typhimurium*, *Staphylococcus aureus*, *Listeria monocytogenes* and *Enterococcus faecalis* were
70 reduced by 2.4 – 3.8 \log_{10} cfu/ml (11). Similarly, Izumi (14) reported that after rinsing in
71 running electrolyzed water (pH 6.8, 20 ppm AC) for 3 min, the background microflora on fresh
72 vegetables was reduced by 1 log. Rajkowski and Sommers (21) used anolyte (300 ppm, pH 6.4,
73 3 min at 23 ° C) to inactivate the background microflora, *Salmonella* and *L. monocytogenes* on
74 catfish fillets. They reported a 1 \log_{10} cfu/g reduction for the background microflora and
75 *Salmonella* but no reduction of *L. monocytogenes* (21).

76 To determine the effects of AC on cell morphology, researchers examined bacterial cells
77 after treatments by using transmission electron micrographs (TEM). The morphological effects
78 of electrolyzed oxidizing water (EO water, pH 2.3 – 2.7) on *E. coli* and *Staph. aureus* and of
79 electrolyzed acidic water (pH 2.7) on *Staph. saprophyticus* showed cell wall wrinkling and
80 dissociation between the cytoplasm and cell wall (20, 24). The pH of the treatment waters in
81 both these studies was acidic (pH 2.3 – 2.7). Rajkowski and Sommers (21) used anolyte (pH

82 6.4) to treat *Salmonella* and *L. monocytogenes* suspended in sterile distilled water. The resulting
83 TEM micrographs of the treated bacteria showed similar morphological changes to the cell's
84 membrane with increased damage as the contact time with the anolyte increased from 0.5 to 3
85 min (21).

86 In addition to liquid sanitizers, non-thermal interventions are also used to disinfect
87 surfaces. Pulsed ultraviolet (PUV) light uses a Xenon bulb which is a powerful, non-mercury
88 form of UV light. PUV delivers UV over a wide energy range (wide wave length) compared to a
89 mercury generated UV energy, which is a single wave length. PUV light results in genetically
90 damaged cells by the formation of lethal pyrimidine dimers (pyrimidone) on bacterial DNA (10).
91 PUV light is used to decontaminate and sterilize smooth dry surfaces, such as glass, medical
92 devices and packing materials (2). The FDA has approved the use of PUV light in the
93 production, processing and handling of food contact surfaces (5). PUV light is an effective
94 technology for inactivating food-borne pathogens on smooth surfaces (15,16, 22) and food
95 powders (10). There is little documentation on the morphological effect of PUV light on bacteria
96 cells. This research was conducted to observe morphological changes in the cell wall of bacteria
97 after treatment with anolyte, PUV light and a combination of PUV light followed by an anolyte
98 wash.

99 **MATERIALS AND METHOD**

100 **MICROORGANISM:** *Salmonella* Schwarzengrund 19535, *Listeria monocytogenes* HCC23
101 (serotype 4a) and *Staphylococcus aureus* 196E were obtained from the Eastern Regional
102 Research Center's (Wyndmoor, PA) culture collection. The identity of each isolate was
103 confirmed by both Gram stain and API identification strips (bioMerieux Vitek, Inc., Hazelwood,
104 MO). Working-stock cultures of each strain were maintained in brain-heart infusion broth
105 (Becton, Dickinson and Co., Franklin Lakes, NJ) and stored at 4°C essentially as described (21).

106 In preparation for inactivation studies, each isolate was passed separately in tryptic soy
107 broth (TSB, Becton, Dickinson and Co.) and incubated at 37°C overnight. The 18 h cultures
108 were centrifuged at 3600 x g for 10 min (Sorvall Legend™ RT centrifuge, Kendro Laboratory
109 Products, Newtown, CT) at 4°C to remove the growth media and re-suspended in 1% buffered
110 peptone water (BPW - Becton, Dickinson and Co.). All cell counts were determined by serial

111 dilution in 0.1 % peptone water (PW, Becton, Dickinson and Co.) and surface-plated on tryptic
112 soy agar (Becton, Dickinson and Co.).

113 **PREPARATION OF ANOLYTE:** Anolyte, prepared daily by electrolyzing saturated NaCl
114 solution, was obtained using the Mini-Lyte 50 System (Clarentis Technologies, LLC, Palm
115 Beach Gardens, FL). The generator was preset to deliver anolyte with a residual chlorine level
116 (RCL) of >700 ppm at a pH of 6.0 – 6.5. Before use, the anolyte was standardized by diluting
117 with sterile deionized water to obtain a 300 ppm RCL at pH 6.2 – 6.5.

118 ***In vitro* INACTIVATION:**

119 Before any inactivation treatment, samples were removed for cell count and transmission
120 electron microscopic (TEM) analysis. One ml of each cell suspension was treated at 23°C with 9
121 ml anolyte (300 ppm RCL) and mixed for 3 min. Ten ml of 2 X BPW was added to inactivate
122 the anolyte. Cell samples were removed for TEM and for recovery counts. Twenty ml of the
123 individual culture was added to a Petri dish. The top was removed before the dish containing the
124 suspended cells was placed in the chamber on the middle shelf, which is 8 cm from the PUV
125 source. The chamber was pre-chilled using dry ice and the chamber and cell suspension
126 temperatures were monitored. The cell suspension was treated with pulsed ultraviolet light
127 (PUV) for 15 sec. using the SteriPulse-XL[®] Sterilization System (Xenon Corp., Wilmington,
128 MA). Temperature of the cell suspension after PUV was 30°C. Samples were removed for TEM
129 analysis, for recovery counts and for the anolyte treatment. One ml of the PUV cell suspension
130 was added to 9 ml anolyte (300 ppm RCL) and mixed for 3 min at 23 °C before 10 ml of 2 X
131 BPW was added to inactivate the anolyte. Cells samples were removed for TEM and for
132 recovery counts. Each bacteria was treated and TEM samples prepared three times.

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134 **TRANSMISSION ELECTRON MICROGRAPH**

135 Cells were suspended in a 2.5% gluteraldehyde solution (4°C), (Electron Microscopy
136 Sciences {EMS}, Hatfield PA, USA), to fix and allowed to sit for 30 min. The sample was then
137 centrifuged for 30 min at room temperature to pellet cells and then re-suspended in a 0.1 M
138 Imidazole solution (EMS) to wash out residual gluteraldehyde. Cells were centrifuged and re-
139 suspended with 200 µL of a 1% Osmium Tetroxide, (EMS), solution under a fume hood for 1 h.
140 The cells were re-suspended with a micropipette and the sample was allowed to stand for 1 h.
141 Cells were centrifuged and re-suspended in 400 µL 0.1 M Imidazole for 30 minutes.

142 Dehydration used a graded ethanol solution of 50% ethanol gradually increased to 80%
143 ethanol, (Warner-Graham Company, Cockeysville, MD), for 30 min. Each sample was
144 centrifuged between series. The sample was then finally washed 3 X with 100% ethanol and
145 allowed to stand for 30 min between each wash. Ethanol was then replaced with propylene
146 oxide (EMS) twice for five minutes.

147 EMbed-812 (EMS) was mixed and used at a 50% to 100 % solution with propylene oxide
148 starting with 500 μ L 50% mixture.

149 Initial resin infiltration was done without catalyst in the mixture. The 100% resin without
150 catalyst infiltration was incubated with mixing overnight. Final resin with catalyst was incubated
151 overnight with mixing.

152 The 812 plastic was cured in a vacuum oven (Precision Scientific, Chicago, IL) at 90°C
153 and 25 in Hg overnight. Thin sections at approximately 70 nm were cut using a Reichert Ultracut
154 S, (Leica Wien, Austria) with a Diatome (Fort Washington, PA) Ultra 45 degree diamond knife.
155 Sections were collected on a copper 400 mesh grid (EMS) and stained with a saturated solution
156 of Uranyl Acetate (EMS), for approximately 1 min, rinsed with distilled water and then counter
157 stained with Reynolds Lead citrate for 1 min and then rinsed with distilled water.

158 Thin sections were observed using a Philips Transmission Electron Microscope CM 12,
159 (Philips, Netherlands) with an accelerating voltage of 80KV and imaged with a DVC detector
160 and processed with AMT software (Danvers, MA) and photographed.

161

162 **RESULTS**

163 **Morphological changes following treatments: *Salmonella*.** The 18 h *Salmonella* cell
164 suspension in 1 % BPW had a 1-log reduction after treating with anolyte. This reduction is
165 consistent with the 1-log reduction reported earlier on catfish fillets (21). Dychdala (8) reported
166 that organic material (protein) inactivated AC. In both studies, the anolyte's bactericidal effect
167 was inactivated by the protein in the BPW or on the surface of the fish. An additional reduction
168 (4-log) was reported when *Salmonella* were suspended in sterile distilled water (21). The PUV
169 treatment reduced *Salmonella* counts by 7-log; however, viable cells were still recovered by
170 direct plating. Rowan et al. (22) reported a 4-log reduction for *Salmonella* after a 100-pulse
171 PUV treatment (high UV light source – non restricted light output in the UV region), which did

172 not inactivate all cells. The PUV treatment followed by the anolyte wash inactivated the cells
173 and none were recovered (≤ 1 -log).

174 The morphological effects of the three treatments on *Salmonella* can be observed from the TEM
175 photomicrographs. Figure 1A of the untreated cells shows cellular division and intact smooth
176 outer cellular membranes. In Fig. 1B, the anolyte treated cells, the photomicrographs showed a
177 thickening and wrinkling of the outer membrane. Nan et al. (19) reported similar morphological
178 changes for *E. coli* after treatment with slightly acidic electrolyzed water (pH 6 (19)). Their
179 photomicrographs also showed cell wall thickening. The *Salmonella* showed disruption of the
180 cytoplasm, wrinkling of the outer cellular membrane, and vascular formations after the PUV
181 treatment (Fig. 1C). Following both the PUV and anolyte wash, *Salmonella* showed increased
182 cytoplasm disruption, wrinkling of the outer wall with indications of cytoplasm leakage and
183 vascular inclusion (Fig. 1D).

184 **Morphological changes following treatments: *L. monocytogenes*.** The 18 h *L. monocytogenes*
185 (LM) cell suspension in 1% BPW had a 1-log reduction after treating with anolyte, whereas a 4-
186 log reduction was reported for LM when suspended in sterile distilled water (21). When the
187 growth media (organic material) was removed and the cell pellet used, Feliciano et al. (9) treated
188 *Listeria innocua* with electrolyzed water (pH 6.9). They also reported a 1-log reduction for
189 *Listeria*. The PUV treatment reduced the LM to < 1 log cfu/ml from an initial count of $10 \log_{10}$
190 cfu/ml, but viable cells were still recovered by plate count. MacGregor et al. (16) reported a 6-
191 log reduction for LM on exposed agar after a pulsed xenon light source, which is consistent with
192 our results. The PUV treatment followed by the anolyte wash inactivated the cells and no LM
193 was recovered.

194 The morphological effects of the three treatments to inactivate LM were observed from the TEM
195 photomicrographs. Figure 2A of the untreated cells shows cellular division and intact smooth
196 outer cellular membranes. In Fig. 2B, the anolyte treated cells, the photomicrographs showed a
197 thickening of the outer membrane, vacuoles and leakage of cytoplasm. Feliciano et al. (9) used
198 electrolyzed water (pH 6.9) to inactivate *L. innocua* and reported that the morphological changes
199 showed development of a thicker cell wall and vacuoles within the cytoplasm. The LM cells
200 showed disruption of cytoplasm with vascular formations and thickening of the cell wall after the
201 PUV treatment (Fig. 2C). Following both the PUV and anolyte wash, the LM cells showed

202 cytoplasm disruption, cytoplasm leakage and thickening of the cell wall (Fig. 2D). Zaika and
203 Fanelli (23) reported that LM undergoes morphological changes when stressed by increased
204 NaCl levels. In their report they showed a thickening of the cell envelope at the septum, which
205 was also observed in this study (Fig. 2D).

206 **Morphological changes following treatments: *Staph. aureus*.** The 18 h *Staph. aureus* (SA) cell
207 suspension in 1% BPW had a 1 log reduction after treating with anolyte. Nan et al. (19) reported
208 no detectable SA (suspended in 0.1 % peptone water) after treatment with SAEW (pH6). The
209 PUV treatment reduced the SA to < 1 log cfu/ml, but viable cells were still recovered.
210 Krishnamurthy et al. (15) also reported an 8 log reduction of SA after PUV treatment. The PUV
211 treatment followed by the anolyte wash inactivated the cells and no SA was recovered.

212 The morphological effects of the three treatments to inactivate SA were observed from the TEM
213 photomicrographs. Figure 3A of the untreated cells shows intact smooth outer cellular
214 membranes. In Fig. 3B, the anolyte treated cells, the photomicrographs shows a ruptured cell
215 and vacuole inclusion within the cytoplasm of another. The outer membrane was not as affected
216 as LM (Fig. 2B) but did show some outer cell wrinkling. This minimum morphological effect
217 after the anolyte treatment was confirmed. Nan et al. (19) reported little damage to the SA cell
218 after treating with SAEW (pH 6) and Hajmeer et al. (12) reported that SA was more tolerant of
219 NaCl levels and had little morphological changes. However, Zeng et al. (24) reported that after a
220 treatment of electrolyzed oxidizing water (pH 2.3 – 2.7) the SA outer cell membrane was
221 wrinkled as observed in this study. The SA cells showed separation of the cell wall (thickening)
222 after the PUV treatment (Fig 3C). Following both the PUV and anolyte wash, the SA cells
223 showed increased cytoplasm disruption, and inclusion of vacuoles (Fig. 3D).

224 **CONCLUSION**

225 After examining the photomicrograph of the morphological changes by three separate
226 TEM preparations, the results were the same as described. The cellular changes following the
227 anolyte wash confirmed what previous authors reported for reactions to chlorine regardless of pH
228 – disruption of the cell wall. Future studies are planned to determine the morphological changes
229 using anolyte at lower AC concentrations with increased contact time. The results of the PUV
230 treatment indicated that in addition to cytoplasm disruption, there were changes to the cell wall.

231 Future studies using PUV are planned to determine the extent of the cell wall damage. In this
232 study, the combination of treatment (PUV + anolyte wash) using one set protocol was effective
233 to inactivate both gram negative and gram positive bacterial pathogens. Future studies are
234 planned to determine the effectiveness for an anolyte pretreatment followed by PUV.

235 The results of this study do indicate that both anolyte and PUV and the combination are
236 effective in inactivating pathogens.

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248 **BIBLIOGRAPHY**

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349 **Figure 1.** Transmission electron micrographs of untreated *Salmonella* (A), anolyte treated
350 cells(B) , PUV treatment (C) and combined treatment (D).

- 351 A. a. completed cell division; b normal cell wall
352 B. a. wrinkled cell wall
353 C. a. vascular inclusion; b. wrinkled cell wall; c. cytoplasm disruption
354 D. a. cell wall disruption; b. vacuole
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356 **Figure 2.** Transmission electron micrographs of untreated *L. monocytogenes* (A), anolyte
357 treated cells (B) , PUV treatment (C) and combined treatment (D).

- 358 A. a. normal cell
359 B. a. vacuole; b. thickened cell wall; cell membrane disruption and cytoplasm leakage

360 C. a. thickened cell wall
361 D. a. vacuole formation; b. thicken septum; c. cytoplasm leakage

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363 **Figure 3.** Transmission electron micrographs of untreated *Saph. aureus* (A), anolyte treated
364 cells (B) , PUV treatment (C) and combined treatment (D).

365 A. a. normal cell wall

366 B. a. vacuole inclusion

367 C. a. cell wall disruption; b. cell wall thickening

368 D. a. wrinkling of cell wall; b. vacuole inclusion

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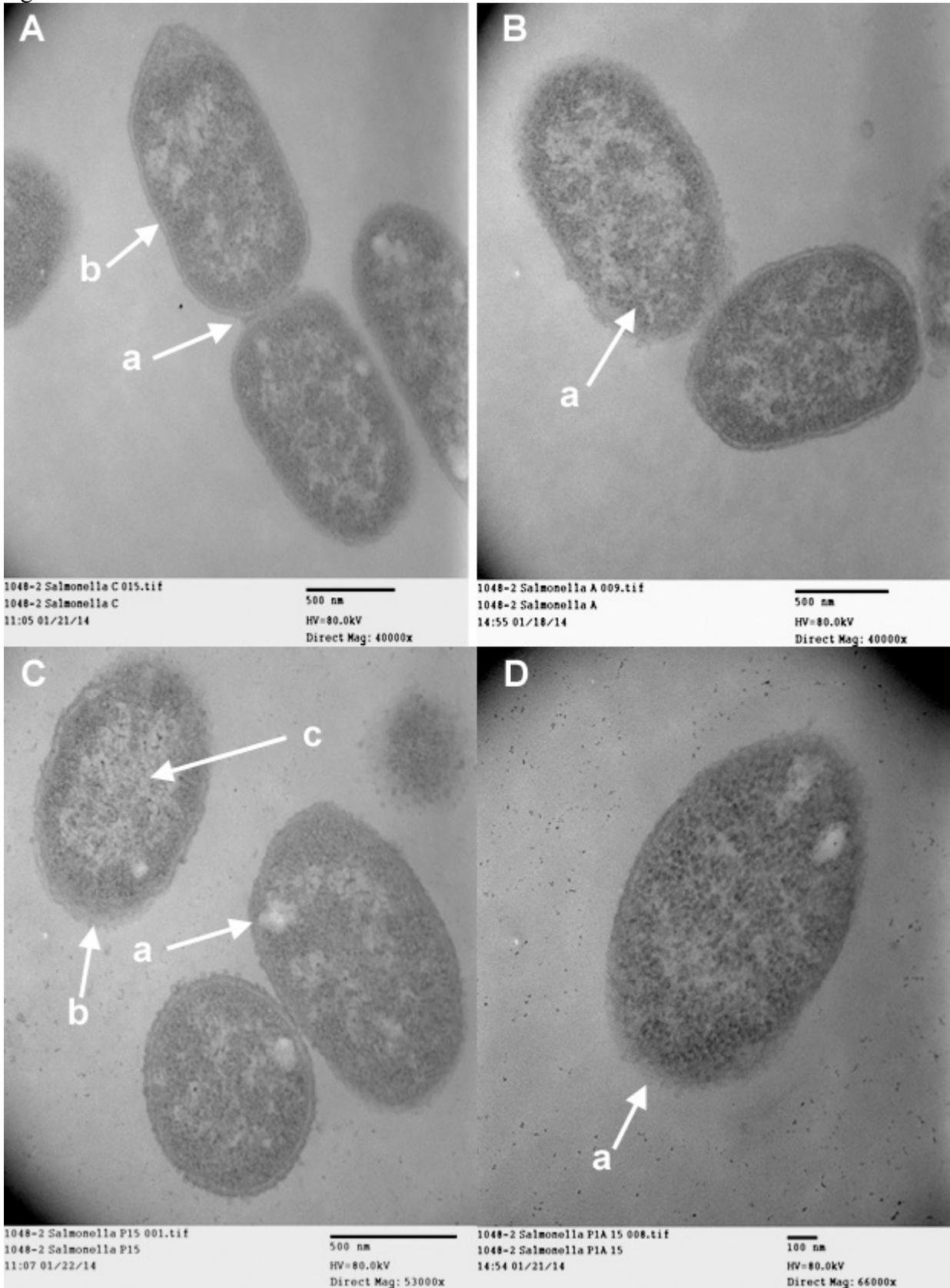
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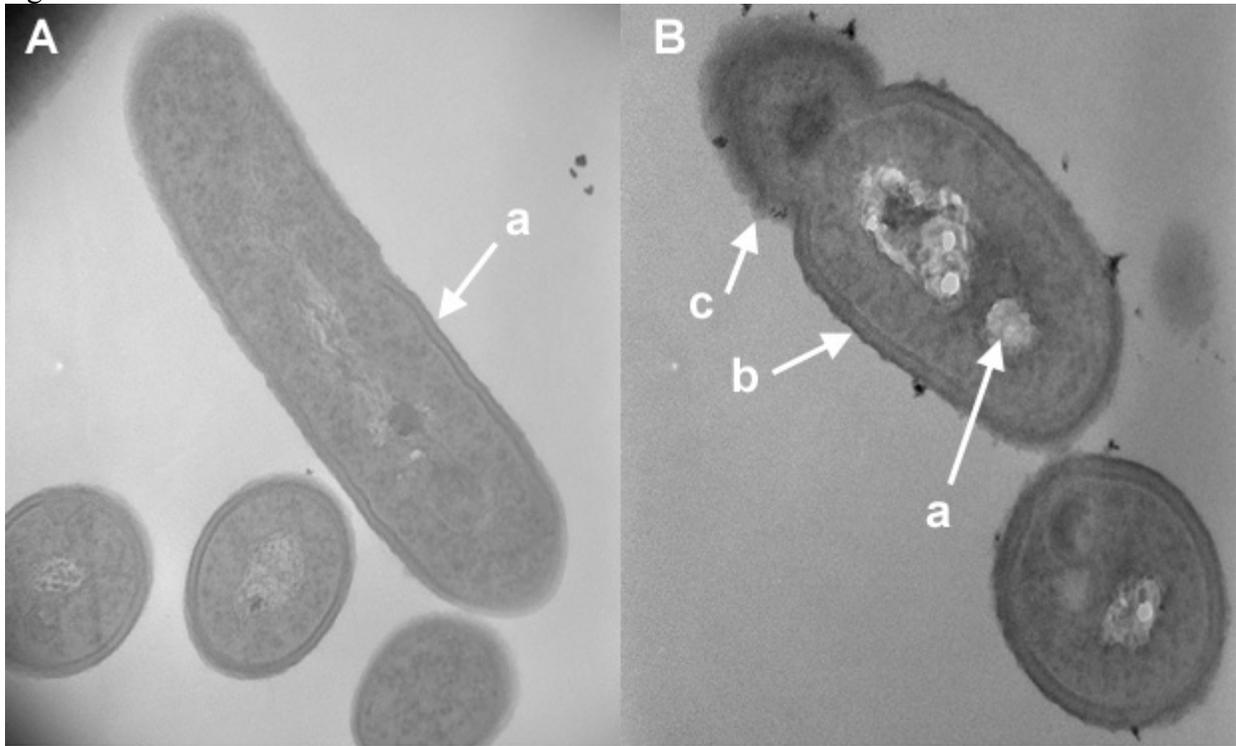
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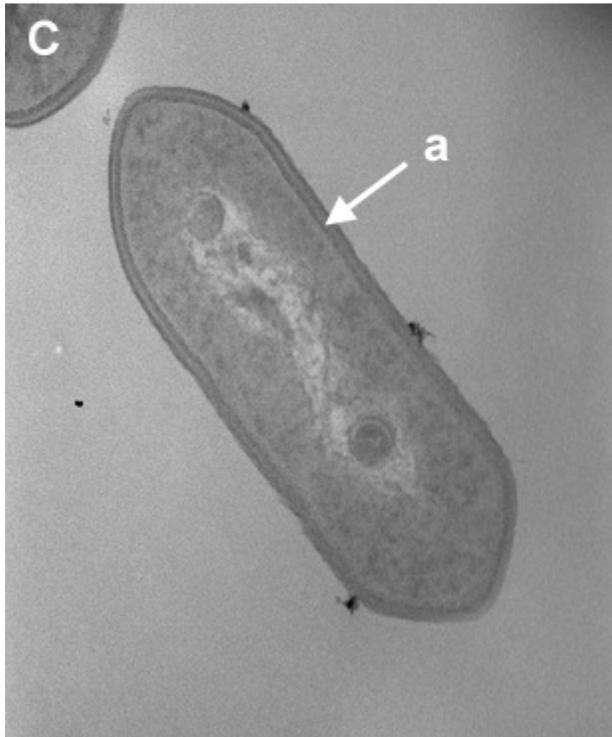


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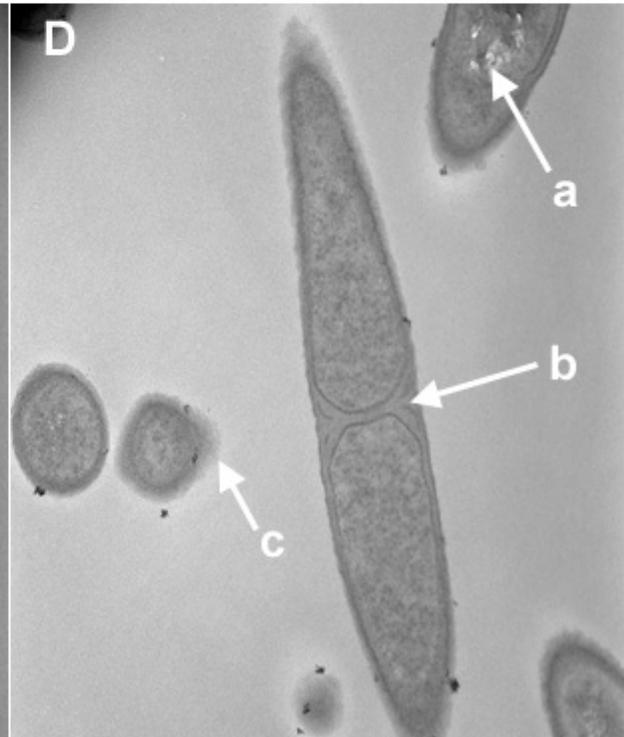
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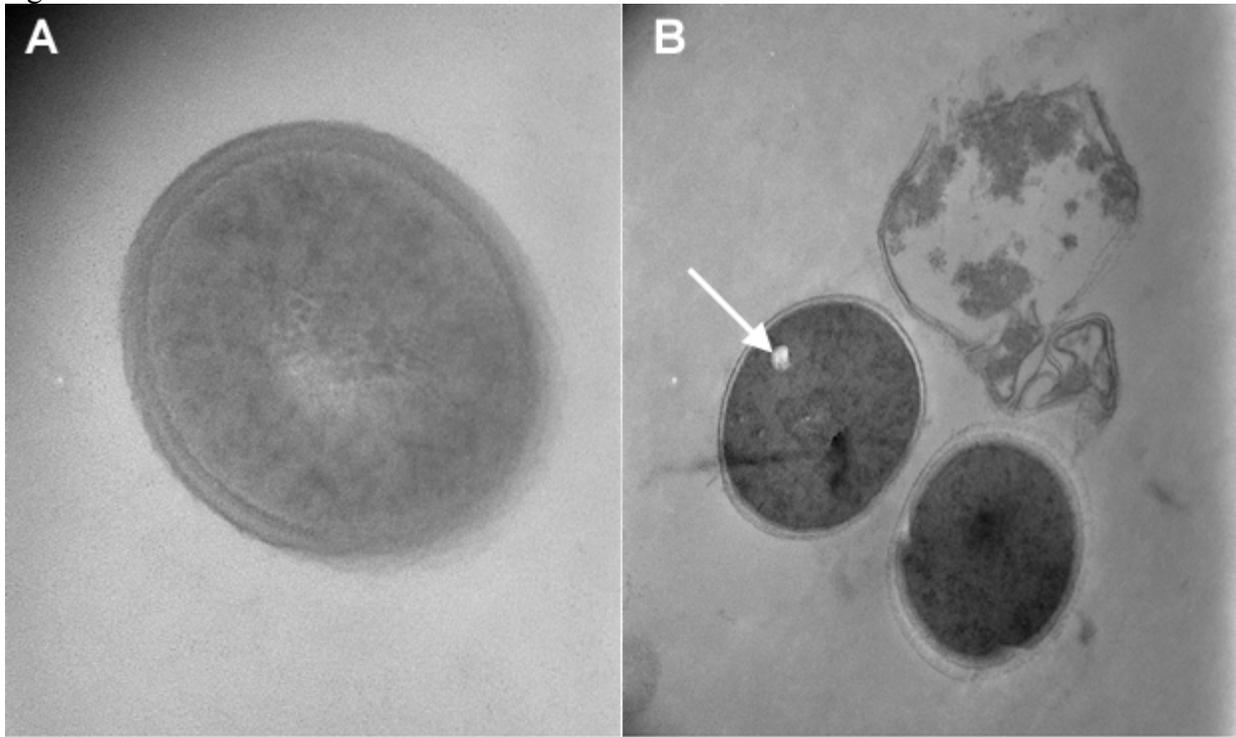
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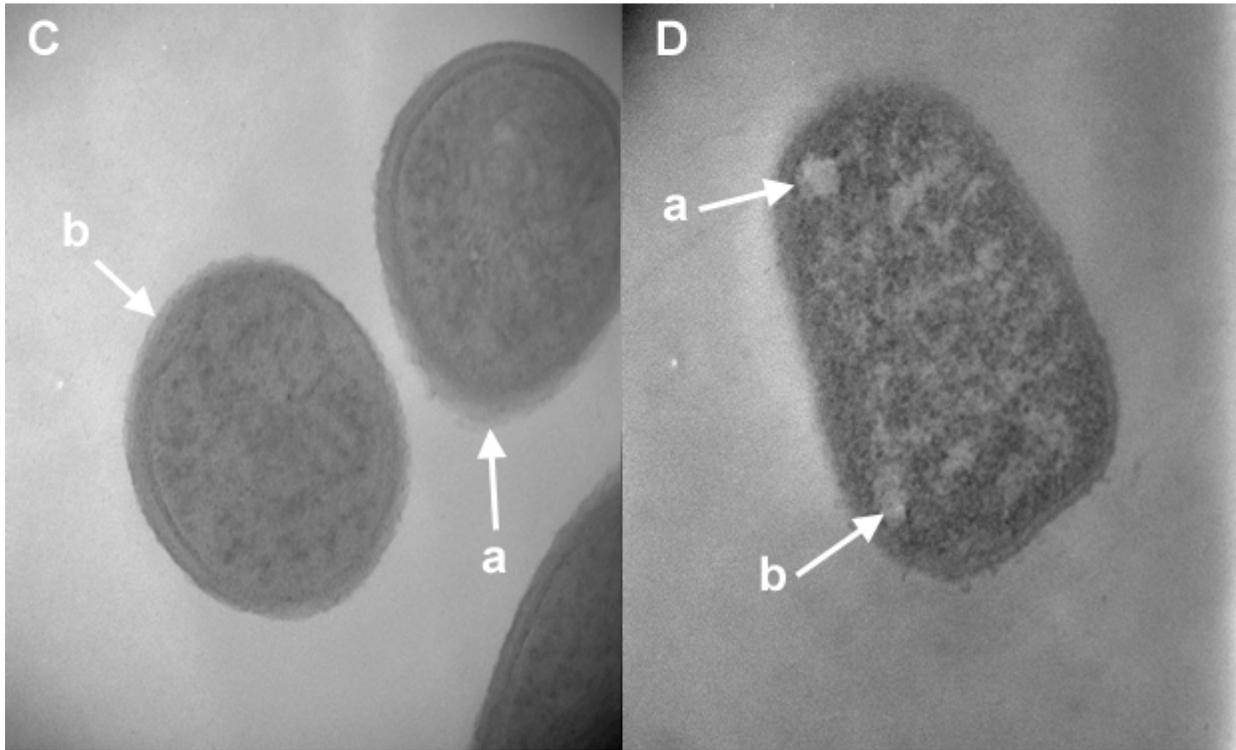


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Direct Mag: 140000x

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1048-2 S aureus A
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Direct Mag: 40000x



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1048-2 S aureus P15
10:39 01/18/14

100 nm
HV=80.0kV
Direct Mag: 88000x

1048-2 S aureus P1A 15 008.tif
1048-2 S aureus P1A15
13:07 01/17/14

100 nm
HV=80.0kV
Direct Mag: 66000x